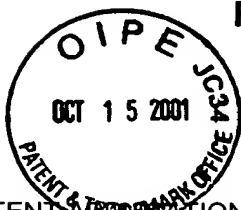


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR
Page 1 of 2

(3)



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): Frater et al.

Attn: Application Division

Appln. No.: 09 885,445 Atty.Dkt. P 279185 P095US1
Series Code ↑ Serial No. ↑ M# Client Ref

Filed: June 21, 2001
Title: Mask With Gusset

Hon. Commissioner of Patents
Washington, DC 20231

Date: October 15, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- Notice to File Missing Parts ☒ copy attached ☐ not yet received
☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

☐ Specification originally filed in non-English language; hence verified translation attached of:

- a. ☐ Abstract
b. # pages of Specification (only spec. & claims)
c. ☐ Drawing(s) No of Sheets
☐ Fig(s).

4. ☐ Letter filing formal drawing attached.
5. ☒ Attached are (2) assignments and cover sheets. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/213,251	June 22, 2000	(2) 60/219,618	July 21, 2000
(3) 60/293,992	May 30, 2001	(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____
8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____

10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☐ Attached:

12. ☒ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$330/\$165		106/26
Not Design Application				\$740/\$370	+740	101/201
14. Total Effective Claims	85	minus 20 =	65	x \$18/\$9	+1170	103/203
15. Independent Claims	11	minus 3 =	8	x \$84/\$42	+672	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =				\$2712		
19. Original due date: October 15, 2001						
20. Petition is hereby made to extend the <u>original</u> due date to (1 mo)				\$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee (2mos)				\$400/\$200 =		116/216
is attached (3mos)				\$920/\$460 =		117/217
(4mos)				\$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+80	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$2792		

Our Deposit Account No. 03-3975

Our Order No. 74066

279185

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: Glenn J. Perry

Sig: 

Reg. No. 28458

Fax: (703) 905-2500
Tel: (703) 905-2161

1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000

Atty/Sec: gjp/dlh

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,445	06/21/2001	Robert H. Frater	P 279185 P95US4

CONFIRMATION NO. 2733

FORMALITIES LETTER



OC000000006421954

00909
PILLSBURY WINTHROP LLP
1600 TYSONS BOULEVARD
MCLEAN, VA 22102

11/26/2001 MTEKLENI 00000010 033975 09885445

Date Mailed: 08/14/2001

01 FC:202 42.00 CH
Void date: 11/26/2001 MTEKLENI
11/26/2001 MTEKLENI 00000010 033975 09885445
01 FC:202 42.00 CR

FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/17/2001 BABRAHA1 00000012 09885445

FILED UNDER 37 CFR 1.53(b)

11/29/2001 MTEKLENI 00000006 9885445

01 FC:101 740.00 OP
02 FC:102 1170.00 OP
03 FC:103 672.00 OP
04 FC:105 130.00 OP

Filing Date Granted 01 FC:102 672.00 OP
02 FC:103 1170.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$2832.
 - \$1872 for 104 total claims over 20.
 - \$960 for 12 independent claims over 3.

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.156(a) and (b) and in compliance with the above Application Number and Filing Date, is required.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity must be submitted with the missing items identified in this letter.

12/03/2001 MTEKLENI 00000002 033975 09885445

01 FC:102 640.00 CH
02 FC:103 1170.00 CH

- The balance due by applicant is \$ 3672.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Adjustment date: 11/26/2001 MTEKLENI
11/15/2001 FFANREIA 00000009 09885445
01 FC:102 672.00 OP

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Adjustment date: 11/15/2001 FFANREIA
10/17/2001 BABRAHA1 00000012 09885445
02 FC:103 1170.00 OP
03 FC:102 672.00 OP

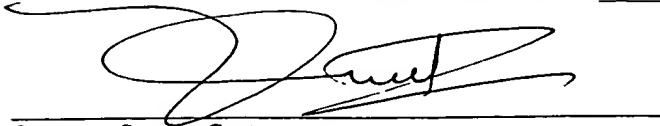
Adjustment date: 11/21/2001 MROCHA1
11/15/2001 FFANREIA 00000009 09885445
02 FC:103 1170.00 OP

11/26/2001 MTEKLENI 00000011 033975 09885445

01 FC:102 84.00 CH 672.00 OP

11/15/2001 FFANREIA 00000009 09885445
01 FC:102 672.00 OP
02 FC:103 1170.00 OP

A copy of this notice MUST be returned with the reply.

A handwritten signature in black ink, appearing to be "J. Paul", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE